

**REMARKS**

This Preliminary Amendment cancels original claims 1 to 16 in the underlying PCT Application No. PCT/DE2004/000777 and adds new claims 17 to 36. The new claims conform the claims to the U.S. Patent and Trademark Office rules and do not add new matter to the application.

In accordance with 37 C.F.R. § 1.125, the Substitute Specification (including the Abstract, but without the claims) contains no new matter. The amendments reflected in the Substitute Specification (including the Title and Abstract) are to conform the Specification and Abstract to U.S. Patent and Trademark Office rules or to correct informalities. As required by 37 C.F.R. § 1.121 and § 1.125, a Marked Up Version Of The Substitute Specification comparing the Specification of record and the Substitute Specification also accompanies this Preliminary Amendment. In the Marked Up Version, underlining indicates added text and “strike-throughs” and double-brackets indicate deleted text. Approval and entry of the Substitute Specification (including Abstract) are respectfully requested.

Also enclosed is a translated copy of the International Search Report. The Search Report includes a list of documents that were considered by the Examiner in the underlying PCT application.

It is asserted that the subject matter of the present application is new, non-obvious, and useful. Prompt consideration and allowance of the application are respectfully requested.

Respectfully Submitted,  
KENYON & KENYON

Dated: 12/8/2005

By: Gerard A. Messina  
Gerard A. Messina  
Reg. No. 35,952

One Broadway  
New York, New York 10004  
Telephone: (212) 425-7200

**CUSTOMER NO. 26646**

METHOD FOR PRODUCING CERAMIC GREEN COMPACTS FOR CERAMIC COMPONENTS

FIELD OF THE INVENTION

The present invention relates generally to a method for producing green compacts for ceramic components, especially multi-layer components ~~according to the definition of the species in Claim 1.~~

5 Background Information

BACKGROUND INFORMATION

A crucial point in ceramic production technology is the development of new methods for producing green compacts. Examples of this are ceramic die-casting, gel-casting or near-net shape technology. All 10 processes require the use of large quantities of organic processing aids, such as binders, lubricants or stabilizers which have to be removed from the green parts before or during the sintering process. This debinding process is, first of all, time and cost intensive, and secondly, it gives rise to environmental stresses because of 15 the decomposition products created, that are in part injurious to health.

Binder systems for ceramic green compacts based on polyacrylates and polymethacrylates are known available, and are used, for example, in the capacitor industry. In this connection, usually

20 aqueous dispersions of polyacrylates are used for the very thin capacitor foils (2 - 20  $\mu\text{m}$ ). For layer thicknesses beginning at about 100  $\mu\text{m}$ , aqueous systems are no longer economical, because of the long drying times. To the extent that formulations using acrylate resins are used in this connection, they derive from 25 polyvinylbutyral (PVB) formulations, and one uses correspondingly known dispersing agents such as fish oil or phosphoric acid ester, and solvent mixtures such as ethanol/toluene.

Ceramic, especially piezoceramic components include several, especially many layers (many-layer components or multilayer components), and are usable, for example, as actors (add-on components) in piezostacks, in that by voltage control an  
5 inertia-poor mechanical deflection of comparatively high force is achieved, or are usable as a flexural element, in that the voltage control brings about a great deflection of lesser force, or they permit the generation of high electrical voltages or are used in appropriate devices for the detection of mechanical vibrations or  
10 the generation of acoustical vibrations.

Technical solutions up to now are predominantly based on ceramic masses of the structural type of perovskite of the general formula  $ABO_3$ , the piezoelectrical properties coming into effect in the ferroelectric state. Lead zirconate-titanate ceramics  
15  $Pb(Zr_{1-x}Ti_x)O_3$  (PZT), modified by certain additives, have proven especially advantageous. Between ceramic layers produced according to typical methods of ceramic foil technology there are noble metal internal electrodes that are applied using silk screen printing. The noble metal electrodes permit thermally eliminating  
20 the dispersing agents and binders used, as well as the additional organic additives and also the organic components of the silk screen printing metal paste from the multilayer stacks into the air, using depolymerization and oxidation, so that, subsequently, sintering compacting at ca. 1100 to 1150° C is made possible without  
25 reduction effects, possibly caused by remaining carbon residues, become effective, which would negatively influence the properties of the ceramics as a result of reduction reactions.

DE German patent document no. 100 62 672 A1 describes refers to piezoelectric components in monolithic multilayer construction,  
30 having a stack of at least two ceramic layers and an electrode layer, situated between two ceramic layers, in which the electrode layer contains copper. Using these copper-containing internal electrodes, a practically complete debinding succeeds before the

application of the sintering compacting under inert conditions in that one supplies plenty of steam to the inert atmosphere during debinding, and permits only a certain partial pressure of oxygen that leaves the copper-containing internal electrode undamaged.

5 This creates the supposition that, in the process of the subsequent sintering compacting, piezoactors having optimal property values of the ceramics are obtained, that are not second to, or even surpass a piezoceramic layer of respective composition, separated under analogous conditions from the copper-containing electrode

10 layer or even sintered in air. Polyurethane dispersion is used as binder in DEGerman patent document no. 100 62 672 A1.

Formulations for casting slip based on solvent mixtures not having aromatic compounds, such as toluene or xylene and not having chlorinated hydrocarbons such as trichloroethylene are not known

15 up to the present, especially in combination with lead-containing powders (e.g. PZT).

#### Summary of the Invention

#### SUMMARY OF THE INVENTION

The exemplary method according to the present invention for

20 producing ceramic green compact has the advantage, compared to the related art, that debinding may be carried out for ceramic multilayer components at substantially better time saving, at lower temperatures and consequently in a substantially more cost-effective manner.

25 One additional advantage is that, using the ceramic green compact produced according to the exemplary method and/or exemplary embodiment of the present invention, constructed ceramic multilayer components having copper internal electrodes may have debinding performed on them in air.

Furthermore, it is advantageous that the removal of the decomposition products created by the thermal debinding may take place harmlessly and completely.

5 Advantageous further refinements of the exemplary method and/or exemplary embodiment of the present invention result from the measures ~~indicated in the dependent claims~~described herein.

#### Exemplary Embodiments

##### DETAILED DESCRIPTION

An improved formulation is introduced for a casting slip based on 10 acrylate-methacrylate copolymers for producing ceramic green compacts for ceramic multilayer components, especially for piezo-multilayer actors, which makes possible a time-saving, simple and complete thermal debinding of green ceramic multilayer components, the maximum debinding temperature not 15 exceeding 350° C. In connection with the PZT ceramic, the complete decomposition of the binder is finished at these temperatures. This is caused by the catalyzing effect of the PZT surface on the acrylate binder. In this context, a residual carbon content of less than 100 ppm is obtained. The decomposition mechanism is 20 predominantly depolymerization. In this context, the structure of the carbon chain ("backbone") is attacked, so that mainly monomers are formed and discharged. The formation of pyrolysis carbon hardly takes place at all in this context.

The formulation according to the exemplary method and/or exemplary embodiment of the present invention also makes it possible quickly 25 and in an error-free manner to perform debinding of ceramic multilayer components having oxygen-sensitive but cost-effective internal electrode materials, such as copper, in air instead of in an atmosphere poor in oxygen, without there occurring an unacceptable oxidation of the electrode layers.

In the binder systems used, the thermal decomposition of the polymer occurs in the first place by depolymerization, which leads to the formation of highly volatile monomers, whereas in the decomposition PVB-based systems, that have been used up to the present, first of all the side groups are split off, and the remaining carbon chain ("backbone") is decomposed oxidatively only at higher temperatures.

The low residual carbon content of less than 100 ppm, achieved by using the formulation according to the exemplary method and/or exemplary embodiment of the present invention, is therefore particularly important, because, when it comes to PZT-based ceramics, carbon acts as a reducing agent for PbO. Together with copper, lead forms a low-melting alloy and, for example, in the case of PZT actors having copper-containing internal electrodes, it may lead to fusing of the electrodes and consequently to the destruction of the component.

The green compacts according to the exemplary method and/or exemplary embodiment of the present invention for ceramic multilayer components are obtained by using a novel formulation of a casting slip, the method having the following steps:

1) Preparation of the Dispersing Agent Solution

The dispersing agent solution is prepared by weighing in and homogenizing dispersing agents and a mixture of solvents having a proportion of approximately 50 % by weight, with respect to the total solvent proportion of the casting slip.

Dispersing agents that have turned out to be advantageous are polymeric dispersing agents having acid groups which, without aromatic hydrocarbons, i.e. without nonpolar solvent components, develop a sufficient dispersing effect. Such dispersing agents are known from the lacquer industry, for example, as Disperbyk 102, 110, 111, 140, 142 and 180 (from the firm of BYK-Chemie). The use

of organic acids, preferably which may be so-called oxa acids such as, for example, 3,6-dioxaheptanoic acid, 3,6,9-trioxadecanoic acid, 3,6,9-trioxaundecanedioic acid or polyglycol diacids, in combination with the above-named complex dispersing agents, is necessary in order to achieve an optimal dispersing effect. The amounts to be added are a function of the BET surface of the PZT powder used (the greater the BET surface, the higher the proportion of oxa acid) and they vary within the range of 0.5 – 2.0 % by weight of active ingredient with respect to the PZT powder.

Being able to omit aromatic hydrocarbons as solvents, which goes along with the above-named dispersing agents, is a decisive advantage of the exemplary method according to the present invention, since these substances are injurious to health and consequently have to be marked as such.

Mixtures of low alcohols, esters and ketones, such as ethanol, isopropanol, n-propanol, n-butanol, ethyl acetate, butyl acetate, 1-methoxy-2-propyl acetate, methylethyl ketone have emerged as solvents which in their volatility and their proportions are adjusted to one another in such a way that that, during the casting process, when it comes to drying, they are able to be driven off gently, one after another. One example of such a mixture is the mixture of ethanol:butyl acetate:butanol, in the proportion (wt. %) 40:30:30. However, other mixtures are also possible. Because they support the dispersing effect and because of their favorable influence on the flowing behavior of the casting slip, the proportion of alcohols cannot be done without. It has proven advantageous if at least one alcohol is contained in the mixture.

## 2) Preparation of the Binding Agent Solution

The binding agent solution is prepared by weighing in and homogenizing solvent mixture, binder and softener, preferably which may be in the proportion of 70:20:10. Generally, the proportion of solvent is in the range of 60-80 % by weight,

and the proportion of binder to softener is in the range of 55:45 to 75:25 % by weight.

According to the exemplary method and/or exemplary embodiment of the present invention, acrylate/methacrylate copolymers, such as 5 Paraloid B-72 (from the firm of Rohm & Haas), Elvacite 2014, 2042, 2043, 4021 (from the firm of Lucite International) are used as binders, which have the above-named advantages (debinding temperature < 350° C, residual carbon content < 100 ppm).

Ester-based, phthalate-free softeners ~~are preferably~~may be used 10 as softeners, since these exert a favorable decomposition behavior or rather, a favorable influence on the thermal debinding behavior of the ceramic multilayer components. In addition, phthalate-free softeners are of no concern health-wise. The esters of citric acid and adipic acid, such as tributyl citrate, 15 acetyltributyl citrate, bis-2-ethylhexyl adipate and isononyl adipate have proven especially advantageous.

### 3) Preparation of a Pre-slip (First Dispersion)

A first dispersion (pre-slip) is prepared by weighing in a ceramic powder, especially a PZT powder, and the dispersing agent solution 20 prepared in step 1 in the proportion of 70:30 to 90:10 % by weight, depending on the concentration of the binder solution, ~~preferably~~which may be 85:15 % by weight, homogenizing and deagglomerating in a vibratory mill or an annular gap mill using ZrO<sub>2</sub> grinding elements over a duration of 0.1 to 10 hours, 25 ~~preferably~~which may be 3 hours.

### 4) Preparation of the Casting Slip (Second Dispersion)

The first dispersion (pre-slip) prepared in step 3 and the binder solution from step 2 are weighed in at a proportion of 70:30 to 30 90:10 % by weight, ~~preferably~~which may be 80:20 % by weight, homogenized and deagglomerated in a vibratory mill or an annular

gap mill using  $ZrO_2$  grinding elements over a duration of 0.1 to 5 hours, preferably which may be 1 hour.

5) Preparing the Casting Slip and Setting the Rheology

Using a vacuum pump, air and highly volatile solvent components are simultaneously removed from the casting slip (second dispersion) prepared in step 4, and the viscosity is set for casting on conventional foil casting equipment, preferably which may be according to the known doctor blade method. In this context, a mixing vessel is evacuated while moving the slip using a stirring mechanism and while bringing the contents to room temperature. At 10 a shear rate of 6.8 l/S, the viscosity is set to a value of 1500 to 4000 mPas, preferably which may be to 2000 mPas.

The advantage of the multilayer components, built up using the green compacts obtained according to the above method, is above 15 all that they may be debindered in a substantially more time-saving way, at lower temperatures and thus in a substantially more cost-effective way when compared to the related art.

A further advantage is that multilayer components, especially piezomultilayer actors based on PZT, having, in comparison to noble 20 metals (Pt, Ag, Pd and combinations thereof) more oxidation sensitive, but more cost-effective internal electrodes, such as those made of passivated copper, may, because of the lower debinding duration and debinding temperature, have debinding performed on them in air. Up to now, in this connection, 25 this required long (3 days and more), complicated, debinding processes that were control-demanding and consequently costly, under inert conditions (nitrogen and/or  $H_2$  and/or  $H_2O$ ). The process expenditure becomes substantially less because of the exemplary method according to the present invention.

30 Yet one more advantage is that, in thermal debinding, harmless decomposition products are created, which may be completely

discharged. The result is residual carbon contents of less than 100 ppm

~~Abstract~~ABSTRACT OF THE DISCLOSURE

A method ~~is introduced~~ for preparing ceramic green compacts for ceramic assemblies, especially ceramic multilayer assemblies. First, a dispersing agent solution is prepared by homogenizing a dispersing agent in a solvent mixture. Then, a binder solution is prepared by homogenizing a solvent mixture, a binder made of acrylate/methacrylate polymer and a softener. After preparing a first dispersion by homogenizing a ceramic powder and the dispersing agent solution and subsequent deagglomeration, a second dispersion is prepared by homogenizing the first dispersion and the binder solution, and subsequently removing air and highly volatile solvent components from the second dispersion.

1074570